Please type a.plus sign (+) inside this box → PTO/SB/08A (10-96)
Approved for use through 10/31/99. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE numer Reduction Act of 1995 no collection of information unless it contains a valid OMB control number. Complete if Known Substitute for form 1449A/PTO **Application Number** 10/787,057 INFORMATION DISCL Filing Date 02/25/2004 STATEMENT BY A **First Named Inventor** Lambrecht et al Gregory Group Art Unit 3761 (use as many sheets as necessary) **Examiner Name** unknown Attomey Docket Number Sheet of 1 VIA-3 CON

| U.S. PATENT DOCUMENTS |              |   |   |  |  |  |  |  |
|-----------------------|--------------|---|---|--|--|--|--|--|
| Examiner<br>Initials* | Cite<br>No.1 | U.S. Patent Document  Number  Kind Code² (if known) | Name of Patentee or Applicant of Cited Document | Date of Publication of<br>Cited Document<br>MM-DD-YYYY | Pages, Columns, Lines,<br>Where Relevant<br>Passages or Relevant<br>Figures Appear |  |  |  |
|                       | 1            | 5,941,896   | Kerr  | 08-24-1999   |  |  |  |  |
|                       | 2            | 5,947,995   | Samuels   | 09-07-1999   |  |  |  |  |
|                       | 3            | 5,954,741   | Fox   | 09-21-1999   |  |  |  |  |
|                       | 4            | 5,954,745   | Gertler et al.                                  | 09-21-1999   |  |  |  |  |
|                       | 5            | 5,957,949   | Leonhardt et al.                                | 09-28-1999   |  |  |  |  |
|                       | 6            | 5,976,172   | Homsma et al.                                   | 11-02-1999   |  |  |  |  |
|                       | 7            | 5,980,555   | Barbut et al.                                   | 11-09-1999   |  |  |  |  |
|                       | 8            | 5,989,281   | Barbut et al.                                   | 11-23-1999   |  |  |  |  |
|                       | 9            | 3,671,979   | Moulopoulos                                     | 06-27-1972   |  |  |  |  |
|                       | 10           | 3,996,938   | Clark, III                                      | 12-14-1976   |  |  |  |  |
|                       | 11           | 4,056,854   | Boretos et al.                                  | 11-08-1977   |  |  |  |  |
|                       | 12           | 4,425,908   | Simon   | 01-17-1984   |  |  |  |  |
|                       | 13           | 4,527,549   | Gabbay  | 07-09-1985   |  |  |  |  |
|                       | 14           | 4,902,272   | Milder et al.                                   | 02-20-1990   |  |  |  |  |
|                       | 15           | 5,108,419   | Reger et al.                                    | 04-28-1992   |  |  |  |  |
|                       | 16           | 5,160,342   | Reger et al.                                    | 11-03-1992   |  |  |  |  |
|                       | 17           | 5,163,953   | Vince   | 11-17-1992   |  |  |  |  |
|                       | 18           | 5,254,097   | Schock et al.                                   | 10-19-1993   |  |  |  |  |
|                       | 19           | 5,300,086   | Gory et al.                                     | 04-05-1994   |  |  |  |  |
|                       | 20           | 5,324,304   | Rasmussen                                       | 06-28-1994   |  |  |  |  |

|                       |                          |                     |   | FOR   | EIGN PATENT DOCUMEN                                | TS   |  |                |
|-----------------------|--------------------------|---------------------|---|---|--|--|--|----------------|
| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | Office <sup>3</sup> | Foreign Patent D<br>Number <sup>4</sup> | ocument<br>Kind Code <sup>5</sup><br>(if known) | Name of Patentee or<br>Applicant of Cited Document | Date of Publication of<br>Cited Document<br>MM-DD-YYYY | Pages, Columns, Lines,<br>Where Relevant<br>Passages or Relevant<br>Figures Appear | T <sup>6</sup> |
|                       | 21                       |                     | EP 04082                                | 45  | American Medical                                   | 01/16/1991   |  |                |
|                       | 22                       |                     | WO 99/334                               | 114   | Veseley  | 07/08/1999   |  |                |
|                       | 23                       |                     | WO 99/152                               | 223   | Cardeon Corp.                                      | 04/01/1999   |  | $\top$         |
| ,                     | 24                       | $\mathbf{H}$        | WO 00/471                               | 139   | Heartport, Inc.                                    | 08/17/2000   |  | $oxed{\top}$   |
|                       |                          |                     |   |   |  |  |  | 1              |
|                       |                          |                     |   |   |  |  |  | 1_             |
|                       |                          | $\sqcup$            | <u>-</u>                                |   |  |  |  | <del>_</del>   |
|                       |                          |                     |   |   |  |  |  |                |

| Examiner /Jonathan Stroud/ | Date<br>Considered | 02/27/2008 | ] |
|----------------------------|--------------------|------------|---|
|----------------------------|--------------------|------------|---|

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer. Patent and Trademark Office. Washington. DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450. KT/VIA3CON. IDSPGS

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

Please type a-plus sign (+) inside this box →

Substitute for form 1449A/PTO

PTO/SB/08A (10-96)
Approved for use through 10/31/99, OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Inder the Panerwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Complete if Known **Application Number** 10/787,057 02/25/2004 **First Named Inventor** Gregory H. Lambrecht et al 3761

unknown

VIA-3 CON

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 2 of

| U.S. PATENT DOCUMENTS |                          |   |   |  |  |  |  |  |
|-----------------------|--------------------------|---|---|--|--|--|--|--|
| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | U.S. Patent Document  Kind Code <sup>2</sup> (if known) | Name of Patentee or Applicant of Cited Document | Date of Publication of<br>Cited Document<br>MM-DD-YYYY | Pages, Columns, Lines,<br>Where Relevant<br>Passages or Relevant<br>Figures Appear |  |  |  |
|                       | 25                       | 5,329,942   | Gunther et al.                                  | 07-19-1994   |  |  |  |  |
|                       | 26                       | 5,370,685   | Stevens   | 12-06-1994   |  |  |  |  |
|                       | 27                       | 5,397,351   | Pavcnik et al.                                  | 03-14-1995   |  |  |  |  |
|                       | 28                       | 5,411,552   | Andersen et al.                                 | 05-02-1995   | -  |  |  |  |
|                       | 29                       | 5,509,428   | Dunlop  | 04/23/1996   |  |  |  |  |
|                       | 30                       | 5,545,214   | Stevens   | 08/13/1996   |  |  |  |  |
|                       | 31                       | 5,549,626   | Miller et al.                                   | 08/27/1996   |  |  |  |  |
|                       | 32                       | 5,554,185   | Block et al.                                    | 09/10/1996   |  |  |  |  |
|                       | 33                       | 5,571,215   | Sterman et al.                                  | 11/05/1996   |  |  |  |  |
|                       | 34                       | 5,584,879   | Reimold et al.                                  | 12/17/1996   |  |  |  |  |
|                       | 35                       | 5,607,465   | Camilli   | 03/04/1997   |  |  |  |  |
|                       | 36                       | 5,682,906   | Sterman et al.                                  | 11/04/1997   |  |  |  |  |
|                       | 37                       | 5,702,368   | Stevens et al.                                  | 12/30/1997   |  |  |  |  |
|                       | 38                       | 5,713,951   | Garrison et al.                                 | 02/03/1998   |  |  |  |  |
|                       | 39                       | 5,797,960   | Stevens et al.                                  | 08/25/1998   |  |  |  |  |
|                       | 40                       | 5,800,457   | Gelbfish  | 09/01/1998   |  |  |  |  |
|                       | 41                       | 5,800,525   | Bachinski et al.                                | 09/01/1998   | <u></u>  |  |  |  |
|                       | 42                       | 5,814,097   | Sterman et al.                                  | 09/29/1998   |  |  |  |  |
|                       | 43                       | 5,848,964   | Samuels   | 12/15/1998   |  |  |  |  |
|                       | 44                       | 5.855.597   | Jayaraman                                       | 01/05/1999   |  |  |  |  |

Filing Date

Group Art Unit

**Examiner Name** 

Attorney Docket Number

|                      |      | _                   |                     | FORE                                 | IGN PATENT DOCUMEN                     | rs   |  |              |
|----------------------|------|---------------------|---------------------|--------------------------------------|--|--|--|--------------|
| Evaminor             | Cite | F                   | oreign Patent Do    | cument                               | Name of Patentee or                    | Date of Publication of                           | Pages, Columns, Lines,                                   |              |
| Examiner<br>Initials | No.1 | Office <sup>3</sup> | Number <sup>4</sup> | Kind Code <sup>5</sup><br>(if known) | Applicant of Cited Document            | Cited Document<br>MM-DD-YYYY                     | Where Relevant<br>Passages or Relevant<br>Figures Appear | T6           |
|                      |      | <u> </u>            |                     |                                      |  |  |  |              |
|                      |      |                     |                     |                                      |  |  |  |              |
|                      |      | <b> </b>            |                     |                                      |  |  |  |              |
|                      |      |                     |                     |                                      | · · · · · · · · · · · · · · · · · · ·  | <u> </u>   |  |              |
| $\vdash$             |      | <del>├</del> ──     |                     |                                      |  |  |  | <b>-</b>     |
| ┢──╅                 |      | <del>  </del>       |                     |                                      |  | <del>                                     </del> |  | <del> </del> |
|                      |      |                     |                     |                                      |  |  |  | +            |
|                      |      |                     |                     |                                      |  | † ··· +  |  | +            |
|                      |      |                     |                     | <del>-      </del>                   | ······································ |  |  | +            |

| Examiner  | / Innathan Ctroud/ | Date                  |  |
|-----------|--------------------|-----------------------|--|
| Signature | /Jonathan Stroud/  | Considered 02/27/2008 |  |

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer. Patent and Trademark Office. Washington, DC 20231.

DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450.

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the senal number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

| Please type a plus sign (+) inside this box | → | + |  |
|---|---|---|--|
|---|---|---|--|

Please type a plus sign (+) inside this box 

+ Approved for use through 10/31/99. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

| Substitute for form 1449A/PTO |                                   |      |          | Complete if Known      |                             |  |  |
|-------------------------------|-----------------------------------|------|----------|------------------------|-----------------------------|--|--|
|                               | 00111710                          |      |          | Application Number     | 10/787,057                  |  |  |
|                               |                                   |      | SCLOSURE | Filing Date            | 02/25/2004                  |  |  |
| STA                           | TEMENT                            | BY A | PPLICANT | First Named Inventor   | Gregory H. Lambrecht et al. |  |  |
|                               |                                   |      |          | Group Art Unit         | 3761                        |  |  |
|                               | (use as many sheets as necessary) |      |          | Examiner Name          | unknown                     |  |  |
| Sheet                         | 3                                 | of   | 5        | Attorney Docket Number | VIA-3 CON                   |  |  |

| U.S. PATENT DOCUMENTS |              |   |   |  |  |  |  |  |  |
|-----------------------|--------------|---|---|--|--|--|--|--|--|
| Examiner<br>Initials  | Cite<br>No.1 | U.S. Patent Document  Kind Code <sup>2</sup> Number  (if known) | Name of Patentee or Applicant of Cited Document | Date of Publication of<br>Cited Document<br>MM-DD-YYYY | Pages, Columns, Lines,<br>Where Relevant<br>Passages or Relevant<br>Figures Appear |  |  |  |  |
|                       | 45           | 6,692,513   | Streeter et al.                                 | 02-17-2004   |  |  |  |  |  |
|                       | 46           | 5,876,367   | Kaganov et al.                                  | 03-02-1999   |  |  |  |  |  |
|                       | 47           | 5,893,869   | Barnhart et al.                                 | 04-13-1999   |  |  |  |  |  |
|                       | 48           | 5,718,725   | Sterman et al.                                  | 02-17-1998   |  |  |  |  |  |
|                       | 49           | 5,910,154   | Tsugita et al.                                  | 06-08-1999   |  |  |  |  |  |
|                       | 50           | 5,911,734   | Tsugita et al.                                  | 06-15-1999   |  |  |  |  |  |
|                       | 51           | 5,925,063   | Khosravi  | 07-20-1999   |  |  |  |  |  |
|                       | 52           | 5,928,261   | Ruiz  | 07-27-1999   |  |  |  |  |  |
|                       | 53           | 5,935,139   | Bates   | 08-10-1999   |  |  |  |  |  |
|                       | 54           | 5,549,665   | Vesely et al.                                   | 08-27-1996   |  |  |  |  |  |
|                       | 55           | 5,728,153   | Menkis et al.                                   | 03-17-1998   |  |  |  |  |  |
|                       | 56           | 5,840,081   | Andersen et al.                                 | 11-24-1998   |  |  |  |  |  |
|                       | 57           | 6,371,970   | Khosravi et al.                                 | 04-16-2002   |  |  |  |  |  |
|                       | 58           | 6,309,399   | Barbut et al.                                   | 10-30-2001   |  |  |  |  |  |
|                       | 59           | 6,235,045   | Barbut et al.                                   | 05-22-2001   |  |  |  |  |  |
|                       | 60           | 6,334,869   | Leonhardt et al.                                | 01-01-2002   |  |  |  |  |  |
|                       | 61           | 6,395,014   | Macoviak et al.                                 | 05-28-2002   |  |  |  |  |  |
|                       | 62           | 5,827,237   | Macoviak et al.                                 | 10-27-1998   |  |  |  |  |  |
|                       | 63           | 5,827,324   | Cassell et al.                                  | 10-27-1998   |  |  |  |  |  |
|                       | 64           | 6,375,670   | Greenhalgh, E.Skott                             | 04-23-2002   |  |  |  |  |  |

|                      |                          |                     |                     | FOR                                  | IGN PATENT DOCUMENT         | rs                           |  |                    |
|----------------------|--------------------------|---------------------|---------------------|--------------------------------------|-----------------------------|------------------------------|--|--------------------|
| Examiner<br>Initials | <b></b>                  | ļ                   | Foreign Patent Docu | ment                                 | Name of Patentee or         | Date of Publication of       | Pages, Columns, Lines,<br>Where Relevant | $\top$             |
|                      | Cite<br>No. <sup>1</sup> | Office <sup>3</sup> | Number <sup>4</sup> | Kind Code <sup>5</sup><br>(if known) | Applicant of Cited Document | Cited Document<br>MM-DD-YYYY | Passages or Relevant<br>Figures Appear   | T <sup>6</sup>     |
|                      | 65                       | WO                  | 00/44313            |                                      | Lambrecht et al.            | 08-03-2000                   |  |                    |
|                      |                          |                     |                     |                                      |                             |                              |  |                    |
|                      |                          |                     |                     |                                      |                             |                              |  | $\Box$             |
|                      |                          |                     |                     |                                      |                             |                              |  |                    |
|                      |                          |                     |                     |                                      |                             |                              |  |                    |
|                      |                          |                     |                     |                                      |                             |                              |  | $oldsymbol{\perp}$ |
|                      |                          | L                   |                     |                                      |                             |                              |  | Ш                  |
|                      |                          | ļļ                  |                     |                                      |                             |                              |  | igspace            |
|                      |                          | <b> </b>            |                     |                                      |                             |                              |  | Ш                  |
|                      |                          |                     |                     |                                      |                             |                              |  | Ш                  |

| Examiner  | (In making Chanced) | Date       | 02/27/2008 |
|-----------|---------------------|------------|------------|
| Signature | /Jonathan Stroud/   | Considered |            |

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

| Please type a plus sign (+) inside this box -> | + |  |
|--|---|--|
|--|---|--|

Please type a plus sign (+) inside this box + Approved for use through 10/31/99. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

| Substitu                          | ute for form 1449A/PT | 0     |         | Complete if Known      |                             |  |
|-----------------------------------|-----------------------|-------|---------|------------------------|-----------------------------|--|
| 1815                              |                       | DIOC  | N OOUDE | Application Number     | 10/787,057                  |  |
|                                   | ORMATION              |       |         | Filing Date            | 02/25/2004                  |  |
| STA                               | ATEMENT B             | BY AP | PLICANT | First Named Inventor   | Gregory H. Lambrecht et al. |  |
|                                   |                       |       |         | Group Art Unit         | 3761                        |  |
| (use as many sheets as necessary) |                       |       |         | Examiner Name          | unknown                     |  |
| Sheet                             | 4                     | of    | 5       | Attorney Docket Number | VIA-3 CON                   |  |

|                      | U.S. PATENT DOCUMENTS |           |                   |   |  |  |  |  |
|----------------------|-----------------------|-----------|-------------------|---|--|--|--|--|
| Examiner<br>Initials | Cite<br>No.1          |           | Code <sup>2</sup> | Name of Patentee or Applicant of Cited Document | Date of Publication of<br>Cited Document<br>MM-DD-YYYY | Pages, Columns, Lines,<br>Where Relevant<br>Passages or Relevant<br>Figures Appear |  |  |
|                      | 66                    | 5,855,601 |                   | Bessler et al.                                  | 01-05-1999   |  |  |  |
|                      | 67                    | 5,769,816 |                   | Barbut et al.                                   | 06-23-1998   |  |  |  |
|                      | 68                    | 5,814,064 |                   | Daniel et al.                                   | 09-29-1998   |  |  |  |
|                      |                       |           |                   |   |  |  |  |  |
|                      |                       |           |                   |   | <del>                                     </del>       |  |  |  |
|                      |                       |           |                   |   |  | ·  |  |  |
|                      |                       |           | $\sqcup$          |   |  |  |  |  |
|                      |                       |           |                   |   |  |  |  |  |
|                      |                       |           | Ш                 |   |  |  |  |  |
|                      |                       |           | $\sqcup$          |   |  |  |  |  |
|                      |                       |           | Ш                 |   |  |  |  |  |
|                      |                       |           | Ш                 |   |  |  |  |  |
|                      |                       |           |                   |   |  |  |  |  |
|                      |                       |           | Ш                 |   |  |  |  |  |
|                      |                       |           |                   |   |  |  |  |  |
|                      |                       |           |                   |   |  |  |  |  |
|                      |                       |           | $oxed{L}$         |   |  |  |  |  |
|                      |                       |           |                   |   |  |  |  |  |
|                      | Ţ                     |           |                   |   |  |  |  |  |

|          | FOREIGN PATENT DOCUMENTS |                         |                     |                                      |                             |                              |  |     |  |
|----------|--------------------------|-------------------------|---------------------|--------------------------------------|-----------------------------|------------------------------|--|-----|--|
| Examiner | Cite                     | Foreign Patent Document |                     | cument                               | Name of Patentee or         | Date of Publication of       | Pages, Columns, Lines,                                   | 1 1 |  |
| Initials | No.1                     | Office <sup>3</sup>     | Number <sup>4</sup> | Kind Code <sup>5</sup><br>(if known) | Applicant of Cited Document | Cited Document<br>MM-DD-YYYY | Where Relevant<br>Passages or Relevant<br>Figures Appear | Te  |  |
|          |                          |                         |                     |                                      |                             |                              |  |     |  |
|          |                          |                         |                     |                                      |                             |                              |  |     |  |
|          |                          |                         |                     |                                      |                             |                              |  |     |  |
|          |                          |                         |                     |                                      |                             |                              | _  |     |  |
|          |                          |                         |                     |                                      |                             |                              |  |     |  |
|          |                          |                         |                     |                                      |                             |                              |  |     |  |
|          |                          |                         |                     |                                      |                             |                              |  |     |  |
|          |                          |                         |                     |                                      |                             |                              |  |     |  |
|          |                          |                         |                     |                                      |                             |                              |  |     |  |
|          |                          | 1                       |                     | 1 1                                  | -                           |                              |  |     |  |

| Examiner  | /Janathan Straud/ | Date       | 02/27/2008 |
|-----------|-------------------|------------|------------|
| Signature | /Jonathan Stroud/ | Considered |            |

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, PO Box 1450. Alexandria, VA 22313-1450.

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

| Please type a plus sign (+) inside this box 🛶 | + | ĺ |
|---|---|---|
|   |   | ı |

PTO/SB/08B (10-96)
Approved for use through 10/31/99. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE collection of information unless it contains a valid OMB control number.

| <br>L |
|-------|
| Г     |

Under the Paperwork Reduc Complete if Known Substitute for form 1449B/PTO **Application Number** 10/787,057 INFORMATION DISCLOSURE Filing Date 02/25/2004 STATEMENT BY APPLICANT **First Named Inventor** Gregory Lambrecht et **Group Art Unit** 3761 (use as many sheets as necessary) **Examiner Name** unknown Attorney Docket Number of Sheet 5 VIA-3 CON

|                       | OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS |   |    |  |  |  |  |
|-----------------------|---|---|----|--|--|--|--|
| Examiner<br>Initials* | Cite<br>No. <sup>1</sup>                          | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T² |  |  |  |  |
|                       | 69  | Antonatos PG, et al., Effect of the positioning of a balloon valve in the aorta on coronary flow during aortic regurgitation, J Thorac Cardiovasc Surg; 1984 Jul; 88(1): 128-33   |    |  |  |  |  |
|                       | 70  | Antonatos PG, et al., Intraventricular Pumping At The Mitral Ring In Mitral Regurgitation; Life Support Syst; 1985; 3 Suppl 1:167-71  |    |  |  |  |  |
|                       | 71  | Antonatos PG, et al., The Use Of A Small Intra-Aortic Balloon To Increase Coronary Flow; Life Support Syst; 1983 Jul-Sep 1(3): 151-64   |    |  |  |  |  |
|                       | 72  | Frederiksen J, et al. Use Of A Counterpulsation Balloon As A Substitute For The Pulmonic Valve: A New Application; Ann Thorac Surg; 1986 Jun; 41(6): 616-21   |    |  |  |  |  |
|                       | 73  | Matsubara T, et al., Balloon Catheter With Check Valves For Experimental Relief Of Acute Aortic Regurgitation; Am Heart J; 1992 Oct; 124(4): 1002-8   |    |  |  |  |  |
|                       | 74  | Moulopoulos SD, et al., Intra-Aortic Balloon Pump For Relief Of Aortic Regurgitation. Experimental Study, J Thorac Cardiovasc Surg; 1980 Jul:80(1):38-44  |    |  |  |  |  |
|                       | 75  | Siwek LG, et al., Acute Control Of Pulmonary Regurgitation With A Balloon "Valve". An experimental investigation, J Thorac Cardiovasc Surg; 1985 Sep; 90(3):404-9   |    |  |  |  |  |
|                       | 76  | Cartwright RS, et al., Combined Replacement Of Aortic And Mitral Valves; J.A.M.A., April 7, 1962;86-90.   |    |  |  |  |  |
|                       |   |   |    |  |  |  |  |
| . —.                  |   |   |    |  |  |  |  |
|                       |   |   | _  |  |  |  |  |
|                       |   |   |    |  |  |  |  |
|                       |   |   |    |  |  |  |  |

| Examiner /Jonathan Stroud/ Date Signature / Cons | 02/27/2008<br>dered |
|--|---------------------|
|--|---------------------|

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

